1

PTO/SB/06A (10-96) Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 08/957,911

 Filing Date
 October 27, 1997

 First Named Inventor
 Larry Hood

 Group Art Unit
 3736
 3739

 Examiner Name
 S. Harris-Ogugua

 Attorney Docket Number
 002266.P004X2

IAN 2 5 1999

U.S. PATENT DOCUMENTS

42			U.S. PATENT DOCUMENTS				
Exem.	Cite No.	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
214		3,595,239	07/27/71	R.A. Petersen		<u> </u>	
1		3,699,967	10/24/72	Anderson			
		3,776,230	12/04/73	Neefe			
		3,963,030	06/15/76	Newton			
		4,301,802	11/24/81	Poler			
		4,347,842	09/07/82	Beale			
		4,461,294	07/24/84	Baron	<u> </u>		
		4,633,870	01/06/87	Sauer			
		4,729,372	03/08/88	L'Esperance, Jr.			
		4,739,759	04/26/88	Rexroth et al.			
		4,747,820	05/31/88	Hornlein et al.			
		4,798,204	01/17/89	L'Esperance, Jr.			
		4,898,169	02/06/90	Norman et al.			
		4,931,047	06/05/90	Broadwin et al.			
		4,976,709	12/11/90	Sand			
		4,988,334	01/29/91	Hornlein et al.			
		5,015,227	05/14/91	Broadwin et al.			
		5,025,811	06/25/91	Dobrogowski et al.			
		5,035,695	07/30/91	Weber, Jr. et al.			
84	-	5,071,418	12/10/91	Rosenbaum			

FOREIGN PATENT DOCUMENTS

Exam. Initials	Cite No.	Document Number	Date	Country	Class	Sub- class	Transl Yes	ation No
		WO 90/12618	01/Nov/90	PCT				
					-			
							<u>.</u>	
								
					 			
		!		ı				
					-		,	
							<u> </u>	<u></u> -
								

Examiner		// /	Date	3-12 90
Signature	Sansa	grans	Considered	2 dd-11

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box +

2

PTO/SB/06A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

neet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 08/957,911

 Filing Date
 October 27, 1997

 First Named Inventor
 Larry Hood

 Group Art Unit
 3736
 3 73 9

 Examiner Name
 S. Harris-Ogugua

 Attorney Docket Number
 002266.P004X2

OTHER ART (Including Title Author Date Pertinent Pages etc.)

3

of

(4)		OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)
Exam. Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SB		"An Electrothermal Technique for the Alteration of Corneal Curvature," Doss et al., Los Alamos Scientific Lab, Feb., 1978
		"A Technique for the Selective Heating of Corneal Stroma," Doss et al., Contact & Intraocular Lens Medical Jrl., Vol. 6, No. 1, Jan-Mar 1980, pps. 13-17.
		"Combined Microwave Heating and Surface Cooling of the Cornea," Trembly et al., IEEE Transactions on Biomedical Engineering, Vol. 38, No. 1, Jan 1991, pps. 85-91.
		"Regression of Effect Following Radial Thermokeratoplasty in Humans," Feldman et al., Refractive and Corneal Surgery, Vol. 5, Sep/Oct 1989, pps. 288-291.
		Biokeratoplasty: using cautery to advantage," Ocular Surgery News, February 1993, pp. 101 & 113, Fugo, Richard J., M.D., Ph.D.
		"Radial Thermokeratoplasty for Hyperopia," McDonnell, Peter J., M.D., Refractive and Corneal Surgery, Vol. 5, Jan/Feb 1989, pp. 50-54
		"Cutaneous Electrosurgery," Jack E. Sebben, M.D., pp. 14-15, 19-30, 42-43, 48, 52-55, 62-63, 77-83, 100-105.
		"Anatomy of the Eye," Physiology of the Eye, Chapter 1, pp. 3, 6-8, 21-22.
		"Radiofrequency for Hyperopia Treatment," Antonio Mendez, M.D., Beverly Hills presentation, August 28, 1993.
SA		"Biophysics of Electrosurgery," C. Randle Voyles, M.D. and Robert D. Tucher, Ph.D. Excerpts from American College of Surgeons Meeting, San Francisco, CA, October 1993.
		·

Examiner	// // //	/ Date	7 2 0 00
Signature	lega Colom	Considered	3-22-97

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known							
Application Number	08/957,911						
Filing Date	October 27, 1997						
First Named Inventor	Larry Hood						
Group Art Unit	3736 3739						
Examiner Name	S. Harris-Ogugua						
Attorney Docket Number	002266.P004X2						

Sheet 3 **of** 3

II S DATENT DOCUMENTS

<u>````</u>			U.S.	PATENT DOCUMENTS			
1990 xapit. Initials	Cite No.	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
S SH	-	5,137,530	08/11/92	Sand			
		5,174,304	12/29/92	Latina et al.	,	 	
		5,188,125	02/23/93	Kilmer et al.		 	
		5,190,517	03/02/93	Zieve et al.			
		5,195,954	03/23/93	Schnepp-Pesch et al.			
		5,312,401	05/17/94	Newton et al.			
5/1		5,346,491	09/13/94	Oertli			
		-					
	•						
				,			
L		<u> </u>		1	<u> </u>	L	I

FOREIGN PATENT DOCUMENTS

Exam. Initials	Cite No.	Documer Number		Country	Class	Sub- class	Transl Yes	lation No
DO	 -	W090/12618	' 01/Nov/90	PCT		_	:	
								<u> </u>
							-	

Examiner	10	11/	Date	2-77-99	
Signature	HOUNT	Cofamo	Considered	52211	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.